

#### UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

#### **REGION IX**

#### 75 Hawthorne Street San Francisco, Ca. 94105

MAR 3 1 2015

Charlie Wright
Tribal Chairman
Cortina Band of Wintun Indians
Post Office Box 1630
Williams, California 95987

RE: U.S. EPA Indian Environmental General Assistance Program

GA-00T89801-3

Application Due:

MAY 0 5 2015

Dear Chairman Wright

I am pleased to inform you that your proposal submitted to this office under the U.S. Environmental Protection Agency's Indian Environmental General Assistance Program (GAP) has been tentatively approved for funding. At this time, I am setting aside \$117,700 of funding to support your GAP for one year.

Work plan submission, negotiation, and approval will be conducted electronically in GAP Online. The comments attached here for your reference are also available in GAP Online. If we receive your complete application and an approvable revised work plan by the due date we will make every effort to award the grant by September 30, 2015. This funding will support work plan activities from September 18, 2015 to September 17, 2016. However, once the EPA award Official has formally approved the work plan for these funds, the tribe may incur costs for the approved activities. Your final work plan will be approved in GAP online.

This letter does not constitute a grant award. In order to receive funding, the Cortina Band of Wintun Indians will need to complete an Application for Federal Assistance (SF-424). The complete application, including an approvable work plan, must be submitted for EPA review by the due date indicated above. Materials can be submitted earlier and will be processed on a first-come, first-served basis. Please note that in completing your application, you will need to enter the GAP Catalog of Federal Domestic Assistance Number (CFDA), required in box #11 on the SF-424A application form, 66.926.

#### New Process for Submitting Your GAP Grant Application

Effective February 17, 2015, EPA grant applicants **must** use Grants.gov to submit their applications. The Grants.gov registration process can take up to 30 days to complete. Therefore, it is highly recommended that EPA applicants complete their registration on Grants.gov now. Also, your organization's registration in SAM.gov must be active to utilize Grants.gov. If it appears that the grants.gov registration process may prevent you from submitting your application by the due date aforementioned, then please contact <a href="mailto:Support@grants.gov">Support@grants.gov</a> or call 1-800-518-4726 as support is available 24 hours a day and 7 days a week. You should also notify your Project Officer before the application due date to request an extension.

Please review both Attachment 2 (Grants.gov Instructions Guide) and Attachment 3 (Region 9 Guidance Information for Applicants) or go to <a href="http://www.epa.gov/region9/funding/information.html">http://www.epa.gov/region9/funding/information.html</a> to find additional resources on Grants.gov, Federal Regulations, and new EPA requirements. We suggest you forward these materials to your Project Manager, Financial Officer and any other personnel in your organization requiring this information.

I look forward to working with you and your staff under the General Assistance Program. Questions regarding the completion of the application forms as well as the mandatory use of grants.gov should be referred to Elizabeth Armour, Grants Management Specialist, at (415) 947-4264. You can also contact your GAP Project Officer, Gilbert Pasqua at (415) 972-3788 if you have questions regarding this letter, the work plan or the General Assistance Program.

Sincerely.

Laura M. Ebbert

Manager

Tribal Section

#### **Enclosures**

- 1. Work Plan Comments
- 2. Grants.gov Instructions Guide
- 3. Region 9 Guidance Information for Applicants

cc: R. Brett Matzke, Environmental Director (with work plan comments)
Anita Oldboll, Tribal Administrator

#### GAP 2015-2016 Work plan Comments Cortina Band of Wintun Indians

Thank you for developing an outcome-oriented work plan. The following observations and requests were written with the intent of strengthening the Tribe's GAP work plan, identifying possible assistance, and ensuring the work plan meets GAP guidelines. These comments pertain to the 2015-2016 work plan that was submitted with your GAP proposal in December 2014. Please ensure that these comments are addressed in your final GAP work plan in GAP Online.

#### **General Definitions and References**

1. The following references are useful for understanding GAP in general, for getting an understanding for environmental outcomes, etc.

2013 Guidance on the Award and Management of General Assistance Program Agreements and Appendix I, Guidebook for Building Tribal Environmental Program Capacity <a href="http://www.epa.gov/region09/funding/pdfs/tribal-gap14/fy2014-r9-gap-guidance.pdf">http://www.epa.gov/region09/funding/pdfs/tribal-gap14/fy2014-r9-gap-guidance.pdf</a> GAP Act (<a href="http://www.epa.gov/Indian/pdfs/4368b.pdf">http://www.epa.gov/Indian/pdfs/4368b.pdf</a>) 2014 GAP Grant Notification (<a href="http://www.epa.gov/region09/funding/tribal-gap.html">http://www.epa.gov/region09/funding/tribal-gap.html</a>) EPA Strategic Plan (<a href="http://www.epa.gov/ocfo/plan/plan.htm">http://www.epa.gov/ocfo/plan/plan.htm</a>)

- 2. Environmental outcomes should be expressed in terms of improvements to public health, the environment, or human behavior. They can also reflect improved tribal capacity to protect public health or the environment. Intermediate outcomes could reflect: a) improved human health or environmental conditions, b) reduced risks to human health or the environment, c) increased tribal capabilities in legal, enforcement, technical, communication, or administrative areas. Long-term outcomes could include attainment of desired environmental conditions (e.g., water quality standards are met) or human health goals, attainment of a desired capacity to plan, develop, implement, manage and sustain tribal environmental programs.
- 3. Please ensure that each component in your work plan contains one or more capacity indicators that appropriately links to the steps that are either underway or proposed to begin in the associated commitments. Capacity indicators represent the destination of the Tribe's work and therefore the Tribe should express how it will accomplish the tasks necessary to achieve the indicators proposed in its commitments. Moreover, capacity indicators should ideally be placed in the "Measures" section for each component in the work plan. More information on the appropriate use of indicators is found on page 13 of the GAP Guidance. A suite of indicators that the Tribe may consider for inclusion in its work plan is contained in Appendix I of the GAP Guidebook.
- 4. Please ensure that the total work plan Estimated Component Costs add up to the total approved budget amount indicated in the cover letter, and that the total work plan Estimated Work Years adds up to the total number of approved personnel funded under GAP. I have attached a document entitled "Component Cost and Work Years Guidance" that will help you to correctly identify Component Costs and Work Years to comply with this request.

5. Greening Grants Policy: EPA Region 9 has adopted a Greening Grants Policy which encourages grantees to carry out their EPA grant funded projects in a greener way. Your Project Officer will work with you to determine whether it is feasible to incorporate green practices into your work plan. The Greening Grants Policy includes an attachment which describes many useful green practices. The policy furthers the objectives of EPA's 2011-15 Strategic Plan. The link to Greening Grant Policy is on the Region 9 Website Homepage, <a href="http://www.epa.gov/region9/funding/greening-grants.html">http://www.epa.gov/region9/funding/greening-grants.html</a>

#### 6. Regarding Indirect Costs:

Absent of an approved Provisional/final rate, Tribes may use a current provisional/final IDC rate for an existing grant with the Department of Interior (DOI). The tribe must provide a copy of the grant agreement with DOI showing the rate that covers the same project periods as the EPA grant.

Please refer to CFR 2, Part 200, Section 200.414 for more information regarding indirect cost rates. This is a change from FY 13 and FY 14 IDC negotiations. Please work with your project officer when budgeting for a 10% IDC.

#### **BUDGET COMMENTS**

Your budget will have to be recalculated to match your new award of \$117,700. Please contact your project officer to go over the recalculation of the budget. The Tribe was not awarded any equipment funding because the funding was for contingency and GAP cannot be used as contingency funding. The Tribe was not awarded contractual because the legal assistance desired was for a project outside of the GAP program. The solid waste container was also denied due to no implementation activities can take place without a final/Tribal Council approved Integrated Solid Waste Management Plan. Also travel funding is \$4,000, if it becomes necessary for more travel funds the Tribe can contact the Owens Valley Indian Water Commission to access the Tribal Travel Fund.

#### **WORK PLAN COMMENTS**

Throughout the work plan, Commitment End Dates are identified beyond 9/17/2016. Commitment End Dates should reflect the actual expected completion date of the Commitment, and should all reflect dates on or before the end of the grant year.

Please ensure each commitment provides why the Tribe has proposed each activity, who will need to do what, where, when and how, to complete proposed activities. This type of information helps quantify the level of effort (FTE and Cost) required to complete commitments, which in turn helps project officers determine if identified costs appear reasonable. Build this type of information into each of your commitment descriptions.

#### **Component 1: Management of Environmental Office**

In the long-term outcomes take out the verbiage referring to BIA administrative court cancellation of the Cortina Integrated Solid Waste Management lease. This action cannot be done under the new GAP guidance.

#### Commitment 1.1:

In the outputs and deliverables section there are too many deliverables identified and should be spread amongst other commitments. For example you have develop Watershed Management Plan, Review and update Pesticide Ordinance, and Climate Change Adaptation Plan (which is identified as a deliverable in Commitment 5.1).

#### Commitments 1.2 and 1.3:

These commitments look fine.

#### Commitments 1.4 and 1.5:

These commitments look the same and can be combined as one commitment.

#### Commitment 1.6:

Please take this commitment out of the work plan, this work cannot be done unless the Tribe does not have treatment as a state under these programs.

#### **Component 2: Solid Waste**

Under the long term goal GAP cannot be used to complete oversight of the commercial landfill or work on any litigation work under this component. This component will have to be rewritten to illustrate a solid waste area which build capacity within the Tribal environmental program. The integrate solid waste management plan is key to the Tribe doing any implementation activities.

Solid Waste Management Planning: No solid waste activities can take place under the grant until the Integrated Solid Waste Management Plan has been approved and finalized by the Tribal Council. The Region 9 GAP solicitation specifies that the development of an Integrated Solid Waste Management Plan (ISWMP) should be one of the first steps taken in program development. Our records show that the Tribe does not have an ISWMP, a crucial component to a healthy program. Prior to undertaking, or concurrent with activities proposed in this work plan, the Tribe should develop an ISWMP. If an ISWMP will be developed under this grant, deliverables must include a copy of the final document signed or approved by the Tribal Council. A critical first step in the creation of a sustainable waste management program is the development of an ISWMP, which helps identify and organize waste management priorities for the Tribe. An ISWMP will also assist in determining the size and structure of a solid waste management program, as well as equipment and facility needs. The Region 9 Tribal Solid Waste Team is available to provide technical assistance and a comprehensive review during development of the ISWMP. The Team also has helpful ISWMP templates available by request and examples available online. It is strongly recommended that the Tribe submit a draft ISWMP to the Tribal Solid Waste Team for review and comment at least 2 months before final approval. During this review, the Tribal Solid Waste Team can work with the Tribe in developing an ISWMP that meets the Tribe's needs.

#### **Commitment 2.1:**

The Integrated Solid Waste Management Plan is key to the Tribe doing any implementation activities. No solid waste activities can be done prior to the Integrated Solid Waste Management Plan being made final and submitted to EPA with Tribal council endorsement.

#### **Component 3: Water Quality Program**

#### Commitment 3.1:

The description is verbatim from the GAP Guidance please rewrite stating areas Cortina would like to address within the environmental program. Also in the outputs and deliverable section a comment about sanitary surveys is stated but these are not done by EPA, IHS usually conducts the sanitary surveys.

### **Component 4: Consultation and Coordination**

#### Commitment 4.1:

If the baseline needs assessment has been done before the Tribe cannot not do again.

#### Commitment 4.2:

In the description section can't have the word (continue) at the beginning of the description section, tribe cannot continue to do the same work from the previous work plan.

# **Component 5: Climate Change Vulnerability Risk Assessment:**

#### Commitment 5.1:

In the outputs and deliverable section will a Climate/Risk Assessment Plan be written at the end of this exercise? It wasn't clear from the write-up. If there will be a vulnerability assessment, it would be good to write-up the major findings. What are the major vulnerabilities? What actions with the Tribe take to address the vulnerabilities? Will actions be taken to mitigate climate change? Also deliverable is identified in Commitment 1.1 and needs to be deleted in Commitment 1.1 as a deliverable.

#### **Component Cost and Work Years Guidance**

Applications must include estimated component cost and associated work years. At present, Tribes are providing estimated costs that do not, when added together, equal the total budget request and budgeted FTE supplied with the work plan.

Suggested instructions for Tribes:

Estimated Component Costs should, when added together, equal the total budgeted amount. Two suggested ways to arrive at numbers that are equal:

1) Evaluate the relative *percentage* of financial resources each work plan task will take, each task being some percentage of 100; multiply that percentage by the total budgeted cost to get the estimated cost per component.

	Com	onent 1	Com	ponent 2	Comp	onent3	Total	Budget
Percentage of Total:		25%	Li	45%		30%		100%
Cost:	\$	29,319	\$	52,774	\$	35,183	\$	117,275

2) Alternately, as an addendum to calculation of the Total Program Budget, add columns wherein the contribution of each component to the total budget is calculated, and total component budgets across budget categories, applying indirect costs if applicable, personnel costs, and fringe. This "estimate" leans more toward accurate budget numbers.

Category	TOTAL	Coi	mponent 1	Coi	mponent 2	Cor	mponent 3
(FTE)	1.5		0.375	181	0.6		0.525
Personnel	\$ 55,000	\$	13,750	\$	22,000	\$	19,250
Fringe	\$ 18,150		4,537.50		7,260.00		6,352.50
Supplies	\$ 5,000		500		4100		400
Travel	\$ 5,000		4200		800		0
Equipment	\$ -		0		0		0
Contractual	\$ 15,000		4000		8000		3000
Subtotal	\$ 98,150	\$	26,988	\$	42,160	\$	29,003
Base for Indirect	\$ 83,150	\$	22,988	\$	34,160	\$	26,003
Indirect	\$ 19,125	\$	5,287	\$	7,857	\$	5,981
Total	\$ 117,275	\$	32,275	\$	50,017	\$	34,983

Estimated Component work years should, when added together, equal the total budgeted FTE for the program. One work year is equal to 2,080 personnel hours. A work year is also known as a full time equivalent (FTE), since it is based on a full time job; 40 hours per week \* 52 weeks per year = 2,080 hours per year.

Two suggested ways to arrive at numbers that are equal:

1) Evaluate the relative *percentage* of staffing resources each work plan component will require, each component being some percentage of 100; multiply the percentage for each component by the total requested FTE to arrive at the estimated FTE per component.

staffing	Component 1	Component 2	Component 3	Total Budget
Percentage of Total:	25%	40%	35%	100%
Cost:	0.38	0.60	0.53	1.50

	ent FTE.			
	it is		65	
		24		
0.50				

# **EPA Region 9 - Grants.gov Instructions Guide**

( March 2015 )

Applications for Federal assistance must be submitted through Grants.gov.

**Register with Grants.gov!** In order to submit your application using Grants.gov, your organization must be registered with Grants.gov. Please allow four weeks to complete the registration process. Also, please note that you must have a DUNS number and an active SAM.gov registration before registering with Grants.gov. You can find more information about registering at:

http://www.grants.gov/web/grants/applicants/organization-registration.html

To Access and Download Grant Application Package go to: <a href="http://www.grants.gov/web/grants/applicants/download-application-package.html">http://www.grants.gov/web/grants/applicants/download-application-package.html</a>

- 1. Funding Opportunity Number (FON).
  - A. <u>Competitive Programs</u>: Refer to the competitive solicitation for the applicable FON. Applicant will enter this into the "Funding Opportunity Number" field to locate the application package.
  - **B.** <u>Non-Competitive Discretionary Programs</u>: Obtain FON from your EPA Point of Contact (POC). Applicant will enter this into the "Funding Opportunity Number" field to locate the application package.
  - C. Continuing Environmental Programs (CEPs): The list of available CFDA numbers for CEPs have been divided between two FONs. The two FONs used to access the application packages are EPA-CEP-01 and EPA-CEP-02. Refer to the following Attachment to determine which FON your CFDA is published under. Applicant will enter this into the "Funding Opportunity Number" field to locate the packages.

CFDA Number:	(#
Funding Opportunity Number:	(C
Funding Opportunity Competition ID:	· (c
	Download Package

- 2. Download the package associated with CFDA 66.XXX.
- Complete the Grant Application Package. Attach the forms and information required by your particular
  program. Contact your EPA POC if you have questions about which forms and materials you must submit for
  your program.
- **4. Submit your application.** Go to <a href="http://www.grants.gov/web/grants/applicants/apply-for-grants.html">http://www.grants.gov/web/grants/apply-for-grants.html</a> for more information on this process.
- 5. Confirm with your EPA POC that EPA has received your application package. If for ANY reason you cannot submit your application by the deadline specified, contact your EPA POC immediately.

For FAQs, User Guides, Checklists, Training and Technical Support, visit the Grants.gov Applicant Resource page at <a href="http://www.grants.gov/web/grants/applicants/applicant-resources.html">http://www.grants.gov/web/grants/applicants/applicant-resources.html</a>

**Technical Questions or Issues?** Please call (1-800-518-4726) or email (<u>support@grants.gov</u>) the Grants.gov Contact Center (<u>http://www.grants.gov/web/grants/about/contact-us.html</u>). Help is available 24 hours a day, 7 days a week.

# **Grants.gov Instructions Guide**

Continuing Environmental Programs (CEPs)
(EPA Mandatory Grant Programs)

# **ATTACHMENT**

# CFDAs Under EPA-CEP-01

66.001	Air Pollution Control Program Support
66.032	State Indoor Radon Grants
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act
66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA),
	Tribal Sovereignty and the Protection and Management of Air Quality
66.040	State Clean Diesel Grant Program
	This program contains Recovery Act funding.
66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program
66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program
66.124	Coastal Wetlands Planning Protection and Restoration Act
66.125	Lake Pontchartrain Basin Restoration Program (PRP)
66.202	Congressionally Mandated Projects
66,418	Construction Grants for Wastewater Treatment Works
	This program contains Recovery Act funding.
66.419	Water Pollution Control State, Interstate, and Tribal Program Support
66,432	State Public Water System Supervision
66.433	State Underground Water Source Protection
66.437	Long Island Sound Program
66.454	Water Quality Management Planning
	This program contains Recovery Act funding.
66.456	National Estuary Program
66.458	Capitalization Grants for Clean Water State Revolving Funds
	This program contains Recovery Act funding.
66.460	Nonpoint Source Implementation Grants
66.466	Chesapeake Bay Program
66.468	Capitalization Grants for Drinking Water State Revolving Funds
	This program contains Recovery Act funding.
66.469	Great Lakes Program
66.472	Beach Monitoring and Notification Program Implementation Grants
66.473	Direct Implementation Tribal Cooperative Agreements
66.481	Lake Champlain Basin Program
66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds
66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds
66.508	Senior Environmental Employment Program
	This program contains Recovery Act funding.
66.517	Regional Applied Research Efforts (RARE)
66,518	State Senior Environmental Employment Program
66,600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support
66.605	Performance Partnership Grants

# CFDAs Under EPA-CEP-02

66.700	Consolidated Pesticide Enforcement Cooperative Agreements
66.701	Toxic Substances Compliance Monitoring Cooperative Agreements
66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals
66.714	Regional Agricultural IPM Grants
66.801	Hazardous Waste Management State Program Support
56.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements This program contains Recovery Act funding.
66.804	Underground Storage Tank Prevention, Detection and Compliance Program
56,805	Leaking Underground Storage Tank Trust Fund Corrective Action Program
	This program contains Recovery Act funding.
56.808	Solid Waste Management Assistance Grants
66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements
66,817	State and Tribal Response Program Grants
66.819	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program
66.926	Indian Environmental General Assistance Program (GAP)
56 931	International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs

#### **ATTACHMENT**

# Region 9 Guidance Information for Applicants <a href="http://www.epa.gov/region9/funding/information.html">http://www.epa.gov/region9/funding/information.html</a>

# • Omni-Circular under 2 CFR 200 & EPA Regulations under 2 CFR 1500:

EPA has officially adopted the OMB Omni-Circular effective December 26, 2014. Specifically, in a joint interim final rule published in the Federal Register on December 19, 2014 (see 79 Fed. Reg. 76050-76063), EPA adopted the Omni-Circular. This includes adoption of Omni-Circular requirements located at 2 CFR 200 along with EPA-specific provisions mandated by statute, regulation or agency policy now codified under 2 CFR 1500. OMB's website at <a href="https://cfo.gov/cofar/">https://cfo.gov/cofar/</a> is the location for resources regarding the Omni-Circular, including OMB's Frequently Asked Questions (FAQ).

Federal Register:

http://www.gpo.gov/fdsys/pkg/FR-2014-12-19/pdf/2014-28697.pdf

2 CFR 200:

http://www.ecfr.gov/cgi-bin/text-idx?SID=4467ef7edf6418328185fefd45270f1f&node=pt2.1.200&rgn=div5 2 CFR 1500:

http://www.ecfr.gov/cgi-bin/text-idx?SID=ac772c78043ca73b46f5d168dd3f038f&tpl=/ecfrbrowse/Title02/2chapterXV.tpl OMB's FAQ:

https://cfo.gov/wp-content/uploads/2014/11/2014-11-26-Frequently-Asked-Questions.pdf

### Interim Financial Assistance Conflict of Interest Policy:

In accordance with the Omni-Circular's Conflict of Interest disclosure requirements under <u>2 CFR 200.112</u>, EPA issued the <u>Interim Financial Assistance Conflict of Interest Policy</u>. Requirements include applicants providing a prominently titled conflict of interest disclosure statement attached to the SF 424, if applicable. This policy applies to all individuals and non-federal entities requesting and receiving EPA financial assistance on or after <u>December 26</u>, 2014. (Note: A standard EPA form is not currently available for the COI disclosure requirement since this is an interim policy.)

Interim Policy:

http://www.epa.gov/ogd/epa interim financial assistance coi policy.htm

# Submission of Grant Applications via Grants.gov:

Effective February 17, 2015, EPA grant applicants must use <u>Grants.gov</u> to submit their applications. It is highly recommended that EPA applicants complete their registration on Grants.gov now, since the entire process can take up to 30 days to complete. Information located at <u>Submitting an Application to Grants.gov Apply</u> and <u>Applicant Resources</u> for Grants.gov will help you get started. Applicants are also encouraged to view a previously recorded <u>EPA Information Webinar</u> session/ presentation regarding Grants.gov or register for an available session.

Grants.gov support/assistance is available 24 hours a day 7 days a week at 1-800-518-4726 or <a href="Support@grants.gov">Support@grants.gov</a>. For International callers, call (606) 545-5035 to speak with a Grants.gov Contact Center representative. Also, the <a href="Region 9 Application Checklist">Region 9 Application Checklist</a> will help ensure your EPA application submitted through Grants.gov is complete.

Guide on Submitting an Application to Grants.gov: <a href="http://www.epa.gov/ogd/guide/submitting.htm">http://www.epa.gov/ogd/guide/submitting.htm</a>

Grants.gov Application Resources:
<a href="http://www.grants.gov/web/grants/applicants/applicants-resources.html">http://www.grants.gov/web/grants/applicants/applicant-resources.html</a>
EPA Information Sessions/Webinars:
<a href="http://www.epa.gov/ogd/training/grants">http://www.epa.gov/ogd/training/grants</a> gov information sessions for applicants.htm

Region 9 Application Checklist:
<a href="http://www.epa.gov/region09/funding/pdfs/grantsgov/r9-application-checklist-grantsgov.pdf">http://www.epa.gov/region09/funding/pdfs/grantsgov/r9-application-checklist-grantsgov.pdf</a>

# Bundling of Grant Application Certifications (for States & Tribes):

State and Tribal applicants are highly encouraged to submit <u>annually</u> a single set ("bundling") of application certification forms with a cover letter. The letter must indicate the applicable timeframe (usually a federal or state fiscal year) of the certifications for all EPA grant applications received by Region 9 Grants Management Office (GMO) within that period. GMO accepts Adobe format (.pdf) of the letter with applicable signed certification(s) through the electronic mail box: <a href="mailto:grantsregion9@epa.gov">grantsregion9@epa.gov</a>. Please note that updated forms must be submitted when changes to the responses are required. The following certifications can be bundled:

Standard Form (SF) 424B, Assurances for Non-Construction Programs

EPA Form 6600-06, Certification Regarding Lobbying, Certification for Contracts, Grants, Loans and Cooperative Agreements

EPA Form 4700-4, Preaward Compliance Review Report for All Applicants Requesting EPA Financial Assistance

Applicants are highly encouraged to use bundling of their certifications if multiple EPA applications are submitted annually. Bundling can be especially helpful as EPA is transitioning to Grants.gov. Please contact your EPA Grants Specialist for questions regarding bundling.

EPA Application Forms: http://www.epa.gov/ogd/forms/forms.htm

# • Changes to the Minority & Women Business Enterprise (M/WBE) Utilization Reporting Requirement:

The EPA issued a revised class deviation from <u>40 CFR 33.502</u> on <u>December 4</u>, <u>2014</u>, which supersedes the M/WBE reporting requirement specified in the recipient's Notice of Award. The EPA financial assistance recipients are now required to report <u>annually</u> when certain conditions are met to reach a threshold of \$150,000. Please review the current information from <u>EPA's Small Business Programs</u>.

40 CFR 33: <a href="http://www.ecfr.gov/cgi-bin/text-idx?SID=04eada1fb1292e21ecf2434ad7bf3781&tpl=/ecfrbrowse/Title40/40cfr33 main 02.tpl">http://www.epa.gov/osbp/dbe reporting.htm</a>

# Grants Management Training Materials for Tribal Organizations:

The EPA's Office of Grants and Debarment (OGD) worked with the national Partnership for Environmental Technology Education (NPETE) to develop <u>training materials for Tribal organizations</u>. While OGD is no longer able to offer in-person trainings, the training materials, including the training manuals and webinar recordings, are available for download.

Recipient Training: <a href="http://www.epa.gov/ogd/training/recip">http://www.epa.gov/ogd/training/recip</a> train.htm